

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: **Lee et al.**

Application Serial No.: **Not Yet Known**

Group Art Unit: **Not Yet Known**

Filed: **Filed concurrently herewith**

Examiner: **Not Yet Known**

For: ***Water-Soluble Etoposide Analogs and Methods of Use Thereof***

Date: November 13, 2003

MAIL STOP PATENT APPLICATION

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

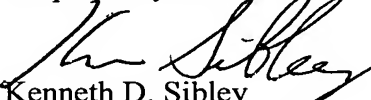
INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Sir:

Attached is a list of documents on Form PTO-1449, together with a copy of any listed foreign patent document and/or non-patent literature. A copy of any listed U.S. patent and/or U.S. patent application publication is not provided herewith in accordance with the waiver by the U.S. Patent and Trademark Office of requirements under 37 C.F.R. § 1.98(a)(2)(i) for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC § 371 after June 30, 2003.

It is requested that these documents be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. § 1.56 and Section 609 of the MPEP. No fee is believed due. However, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 50-0220.

Respectfully submitted,

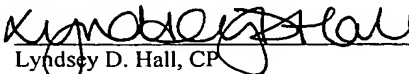

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Lyndsey D. Hall, CP
Certified Paralegal

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| Substitute form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | | | | Complete if Known | | | | |
| | | | | Application Number | | To Be Assigned | | |
| | | | | Filing Date | | Concurrently Herewith | | |
| | | | | First Named Inventor | | Kuo-Hsiung Lee | | |
| | | | | Group Art Unit | | Unknown | | |
| | | | | Examiner Name | | Unknown | | |
| Sheet | 1 | of | 1 | Attorney Docket Number | | 5470.397 | | |
| U.S. PATENT DOCUMENTS | | | | | | | | |
| Examiner Initials* | Cite No. | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | | |
| | | Number | Kind Code (if known) | | | | | |
| | 1 | 4,958,010 | | Kadow et al. | 9/18/90 | | | |
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| Examiner Initials* | Cite No. | Foreign Patent Document | | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T |
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| OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS | | | | | | | | |
| Examiner Initials* | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | | | | | | T |
| | 9 | XIAO ET AL., <i>Antitumor Agents. 229. Design, Synthesis, and Biological Evaluation of Novel 4β-[4"-Benzamido)-Amino]-4'0'Demethyl-Epipodophyllotoxins and Related Derivatives, Unpublished draft paper.</i> | | | | | | |
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